

Course Title	Ethics in Finance and Investments				
Course Code	ACF 360				
Course Type	Compulsory				
Level	Undergraduate				
Year / Semester	Year 3 / Semester 5				
Teacher's Name	MARIOS VOURGOS				
ECTS	6	Lectures / week	3	Laboratories / week	
Course Purpose and Objectives	Financial scandals that happened the last decades have brought forward the importance of ethical practices in the finance and investments industries as well as highlighted the need to prepare ethically aware and responsible professionals in the investments and wider finance industries. Through the demonstration of real world cases, examples and discussions, the aim of this course is to analyze the relevance and importance of ethical practices in the financial/investment industries and how the professionals working within such industries can approach or face ethical delimmias that will promote the fairness and efficiency of the marketplace.				
Learning Outcomes	<p>Upon completion of the course, students will be able to</p> <ul style="list-style-type: none"> • Explain major theories of ethics • Analyze various ethical dilemmas in the investments and wider finance industries and describe ways for their resolution • Discuss the CFA Institute Standards of Professional Conduct • Recommend practices to prevent violations of the Code of Ethics and Standards of Professional Conduct 				
Prerequisites				Required	
Course Content	<ul style="list-style-type: none"> • Finance Ethics: An Overview • Fundamentals of Finance Ethics • Ethics and the Retail Customer • Ethics in Financial Markets • Ethics in Financial Management • Ethics and Trust in the Investment Profession • Code of Ethics and Standards of Professional Conduct • Guidance for Standards I - VIII 				

Teaching Methodology	<ul style="list-style-type: none"> • Lectures coupled with case study teaching and discussion • Reading and resolving problems • Working on problem-solving and case studies • Solving unstructured questions and case studies • Brief oral presentation before starting a new chapter and reply to queries from students • Homework for revision purposes • Interaction and collaborative learning • Guest speakers
Bibliography	<ul style="list-style-type: none"> • John R. Boatright. Ethics in Finance, 3th Edition, Wiley, 2014, ISBN-13: 978 – 1118615829, ISBN-10: 1118615824 • Ethical and Professional Standards – CFA Institute <ul style="list-style-type: none"> ○ (Readings 1 – 3) <p>https://www.cfainstitute.org/-/media/documents/study-session/2019-I3-readings.ashx</p>
Assessment	<ul style="list-style-type: none"> • Problems / Homework (15%) • Midterm Examination (35%) • Final Exam (50%)
Language	English